

*Four-Manifolds,*  
*Einstein Metrics, &*  
*Differential Topology*

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Stony Brook University

IMPA, 6/11/13

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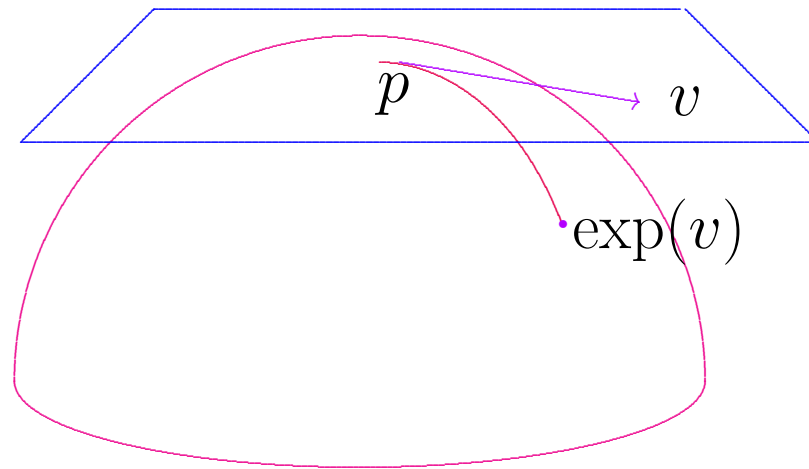
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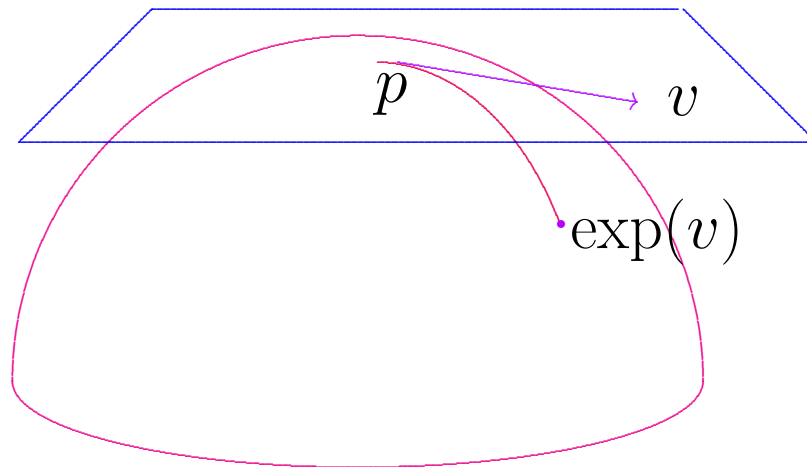
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Now choosing  $T_p M \xrightarrow{\cong} \mathbb{R}^n$  via some orthonormal  
basis gives us special coordinates on  $M$ .

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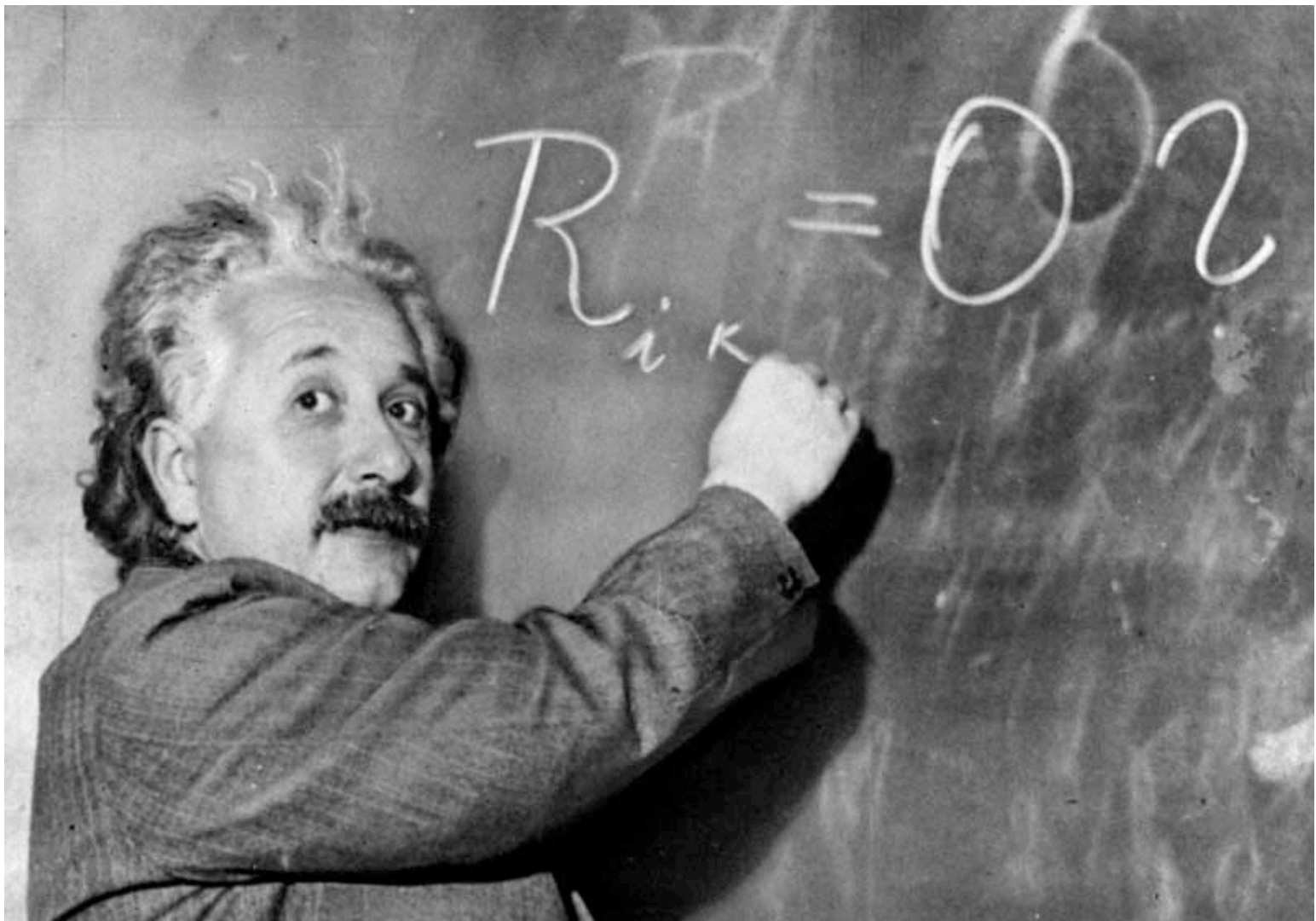
“... the greatest blunder of my life!”

— A. Einstein, to G. Gamow

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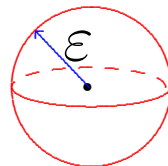
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$$\Delta x^j = 0 \implies r_{jk} = \frac{1}{2} \Delta g_{jk} + \text{lots.}$$

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Proof. Bianchi identity  $\implies \nabla \cdot \overset{\circ}{r} = (\frac{1}{2} - \frac{1}{n})ds$ .

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- When  $n \geq 6$ , **wide open.** Maybe???

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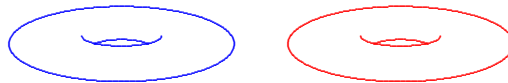
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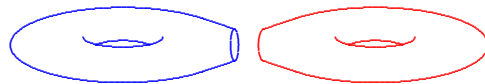
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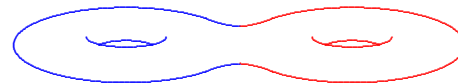
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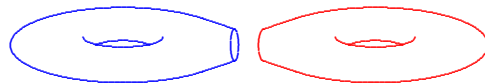
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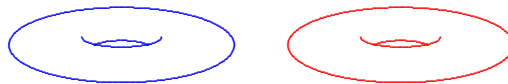
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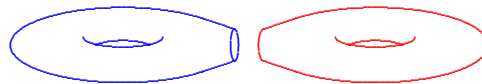
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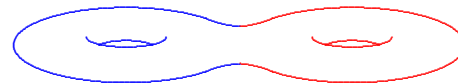
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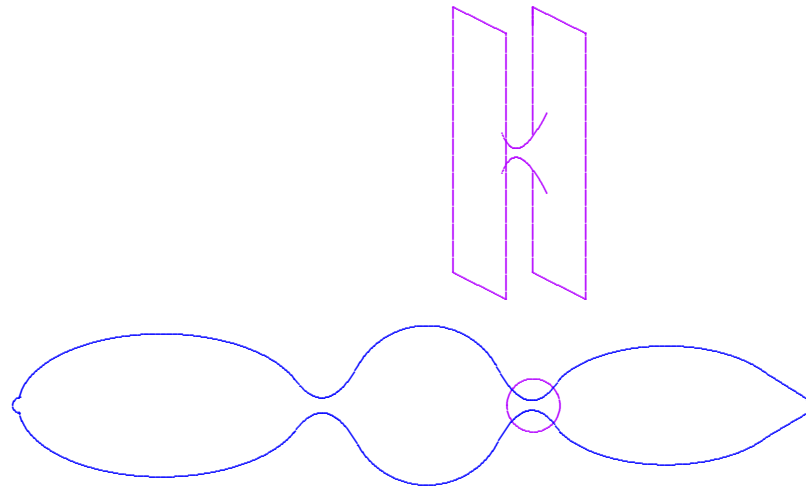
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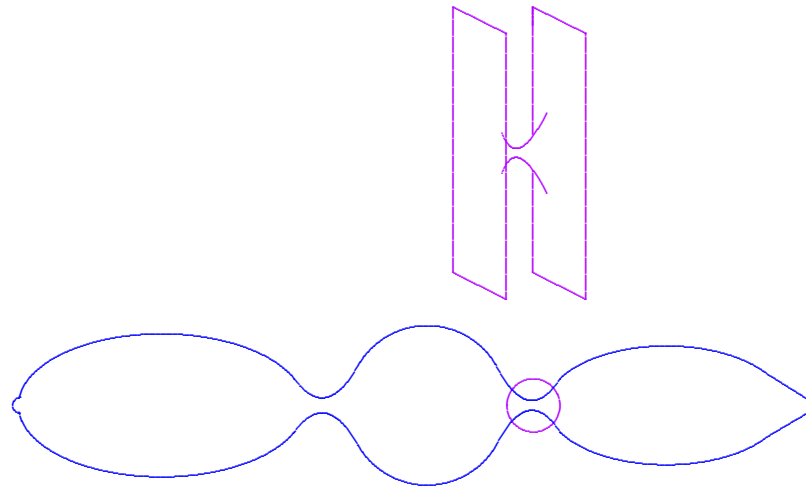
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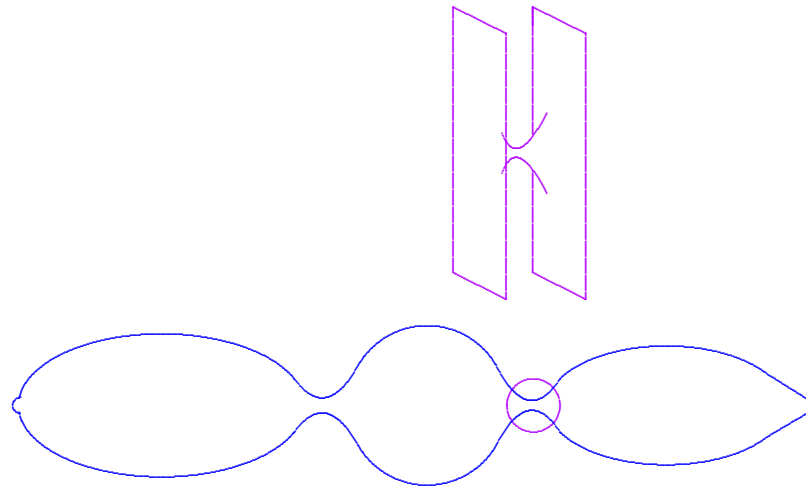
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Similar results for most simply connected spin 5-manifolds. (Boyer, Galicki, Kollar, et al.)

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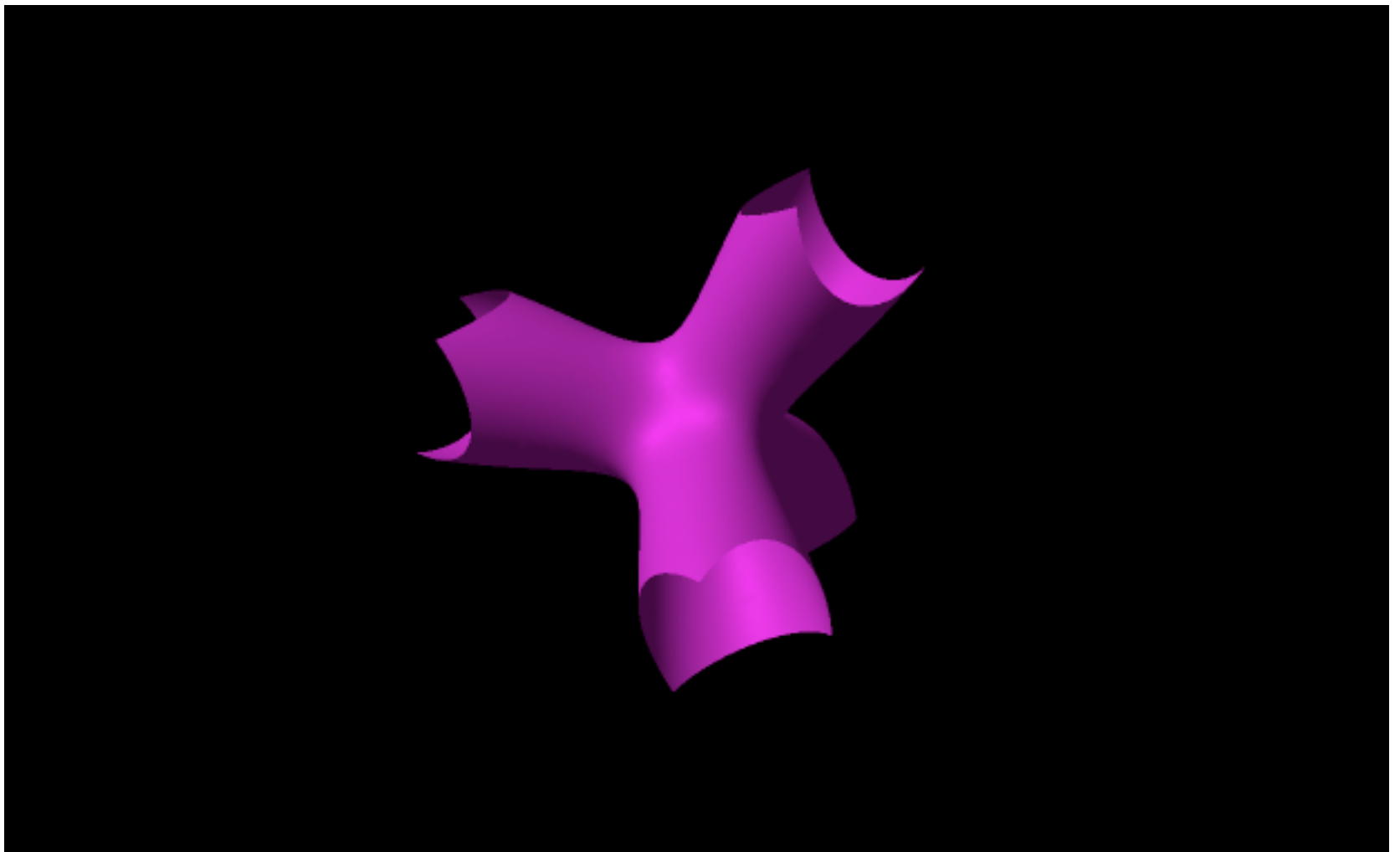
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By contrast, high-dimensional Einstein metrics too common; have little to do with geometrization.

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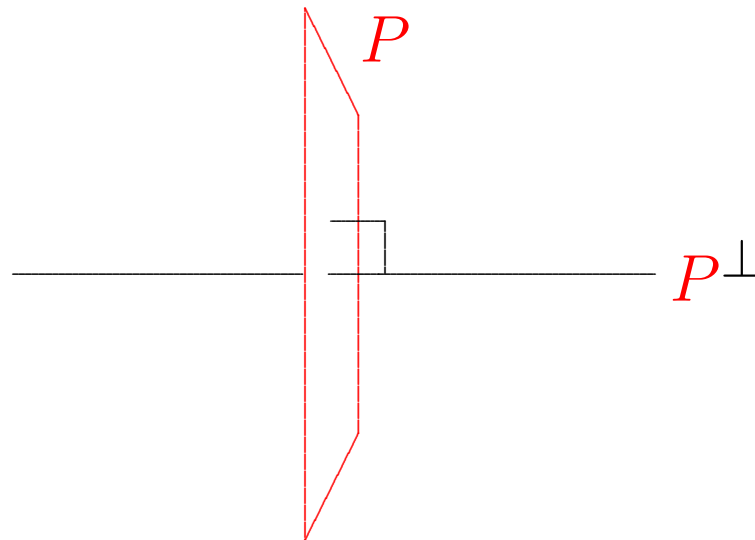
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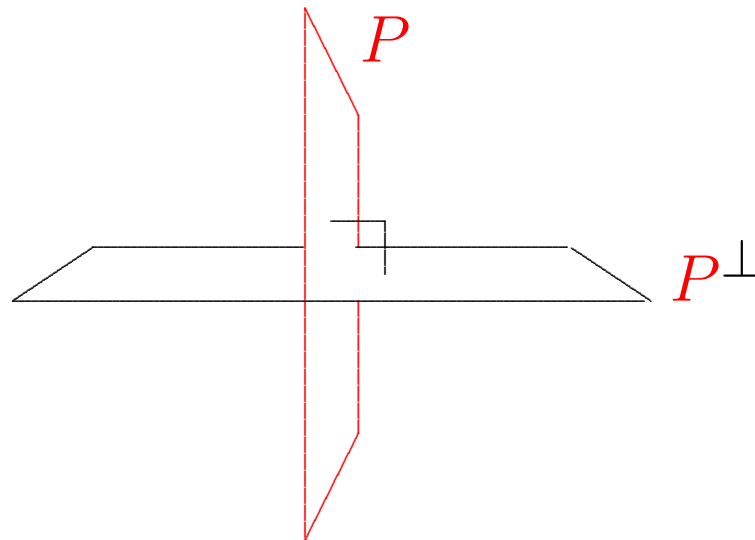
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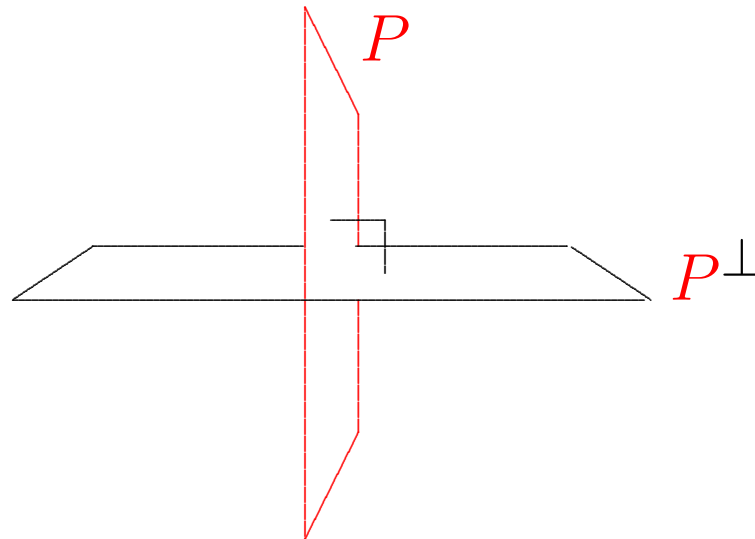
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for Euler-characteristic  $\chi(M) = \sum_j (-1)^j b_j(M)$ .

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Here  $b_{\pm}(M) = \max \dim \text{subspaces} \subset H^2(M, \mathbb{R})$   
on which intersection pairing

$$\begin{aligned} H^2(M, \mathbb{R}) \times H^2(M, \mathbb{R}) &\longrightarrow \mathbb{R} \\ ([\varphi], [\psi]) &\longmapsto \int_M \varphi \wedge \psi \end{aligned}$$

is positive (resp. negative) definite.

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Typically, one homeotype  $\longleftrightarrow \infty$  many diffeotypes.

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Convention:

$\overline{\mathbb{C}P}_2 =$  reverse oriented  $\mathbb{C}P_2$ .

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Certainly true of all examples in this lecture!

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**Today's Main Question.** If  $(M^4, J)$  is a compact complex surface, when does  $M^4$  admit an Einstein metric  $g$  (unrelated to  $J$ )?

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Kähler if the 2-form

$$\omega = g(J\cdot, \cdot)$$

is closed:

$$d\omega = 0.$$

But we do not assume this!

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**Only two metrics arise in non-Kähler case!**

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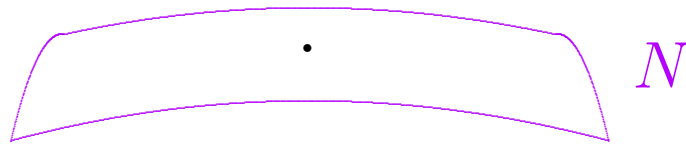
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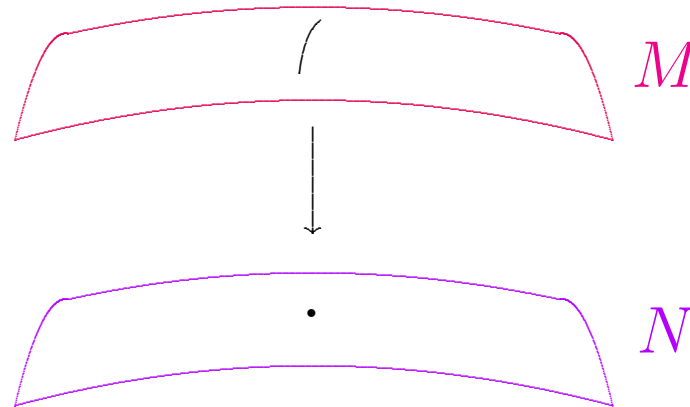
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in which new  $\mathbb{C}P_1$  has self-intersection  $-1$ .

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$\implies$ : Hitchin-Thorpe inequality, easy Seiberg-Witten.

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Del Pezzo surfaces,

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Similarly when  $M$  symplectic instead of complex.

Hitchin-Thorpe Inequality:

$$(2\chi + 3\tau)(M) = \frac{1}{4\pi^2} \int_M \left( \frac{s^2}{24} + 2|W_+|^2 - \frac{|\dot{r}|^2}{2} \right) d\mu_g$$

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**Theorem** (Hitchin-Thorpe Inequality). *If smooth compact oriented  $M^4$  admits Einstein  $g$ , then*

$$(2\chi + 3\tau)(M) \geq 0,$$

*with equality only if  $(M, g)$  finitely covered by flat  $T^4$  or Calabi-Yau  $K3$ .*

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But  $\bar{\partial} + \bar{\partial}^*$  **does** generalize:

$\text{spin}^c$  Dirac operator, preferred connection on  $L$ .



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Every unitary connection  $A$  on  $L$  induces  
spin<sup>c</sup> Dirac operator

$$D_A : \Gamma(\mathbb{V}_+) \rightarrow \Gamma(\mathbb{V}_-)$$

generalizing  $\bar{\partial} + \bar{\partial}^*$ .

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Non-linear, but elliptic once ‘gauge-fixing’

$$d^*(A - A_0) = 0$$

imposed to eliminate automorphisms of  $L \rightarrow M$ .

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If, in addition,  $c_1^2 > 0$ ,

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Minimality is harder!

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A complex surface  $M$  is of **general type**  $\iff$  its minimal model  $X$  satisfies

$$c_1^2(X) > 0$$

$$c_1 \cdot [\omega] < 0$$

for some Kähler class  $[\omega]$ .

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Moreover, equality holds in either case iff  $M = X$ , and  $g$  is Kähler-Einstein with  $\lambda < 0$ .

**Theorem** (L '01). *Let  $X$  be a minimal surface of general type, and let*

$$M = X \#_k \overline{\mathbb{C}P}_2.$$

*Then  $M$  cannot admit an Einstein metric if*

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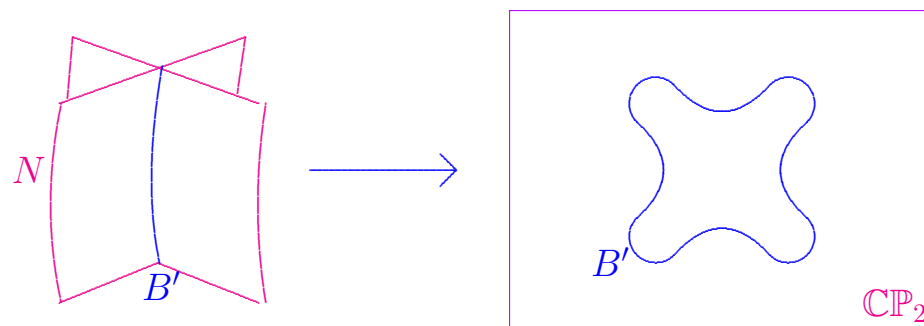
(Better than Hitchin-Thorpe by a factor of 3.)

So being “very” non-minimal is an obstruction.

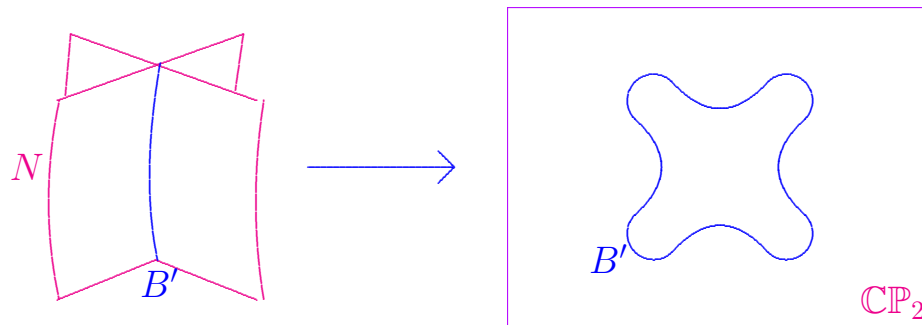
Example.



**Example.** Let  $N$  be double branched cover  $\mathbb{C}P_2$ ,  
ramified at a smooth octic:

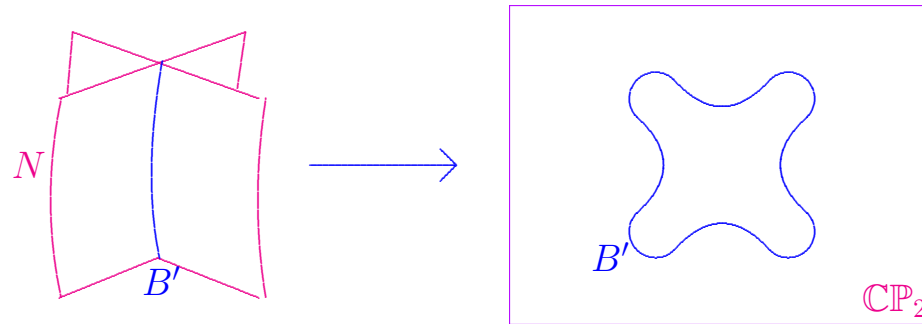


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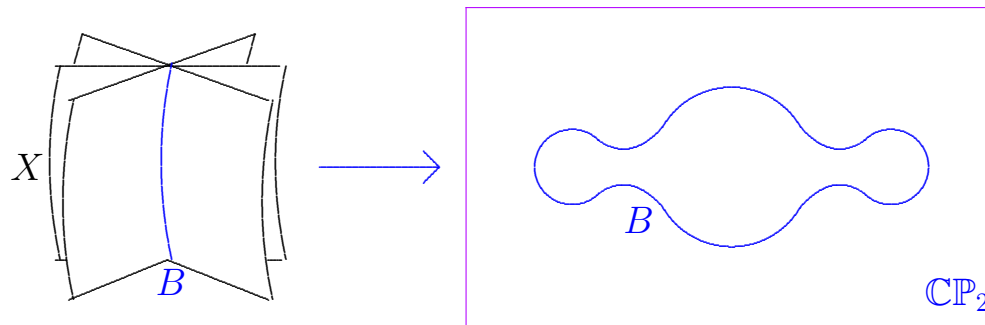
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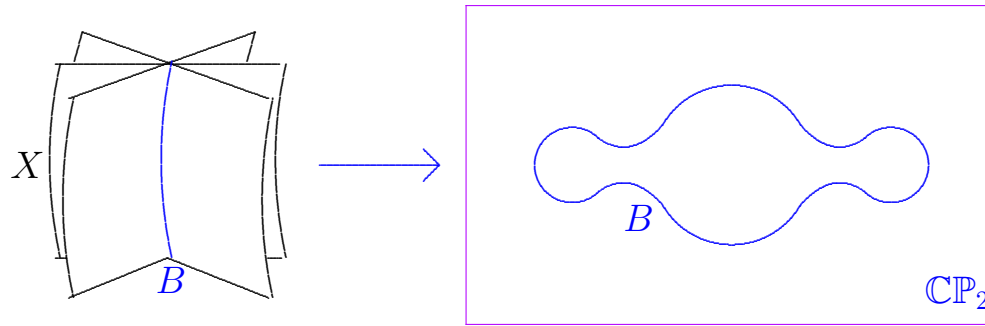


$c_1 < 0 \implies N$  carries an Einstein metric.

Now let  $X$  be a triple cyclic cover  $\mathbb{C}P_2$ , ramified at a smooth sextic



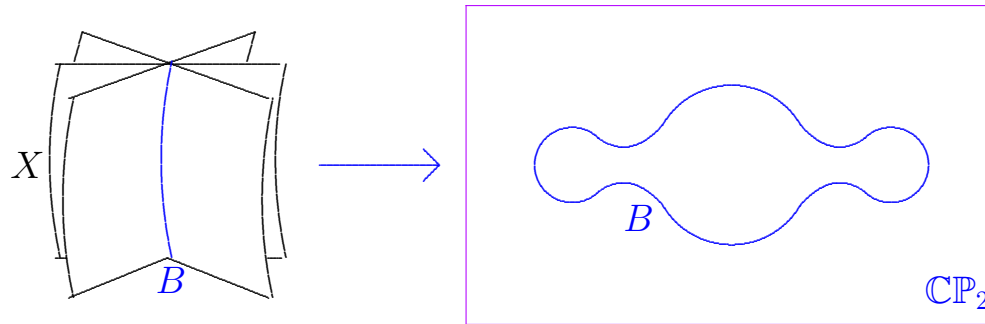
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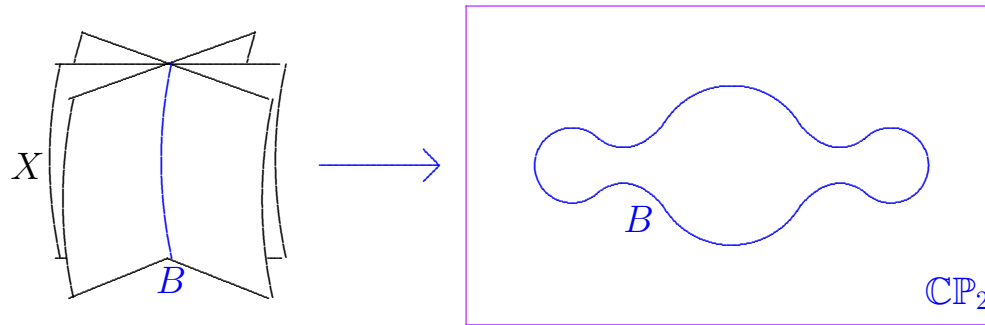
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So Theorem  $\implies$  *no* Einstein metric on  $M$ .

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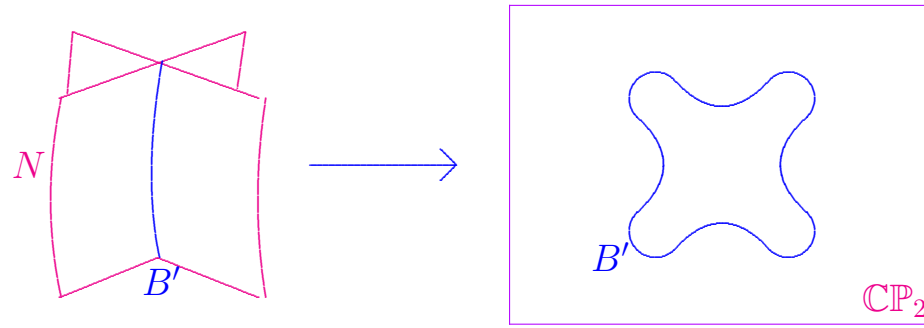
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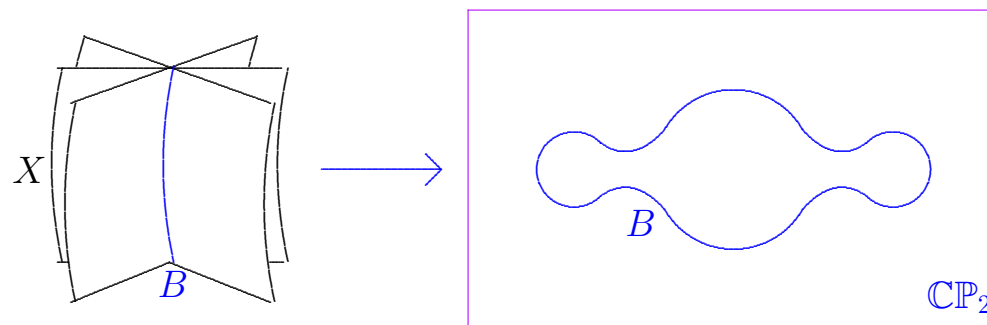
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Moral: Existence depends on diffeotype!

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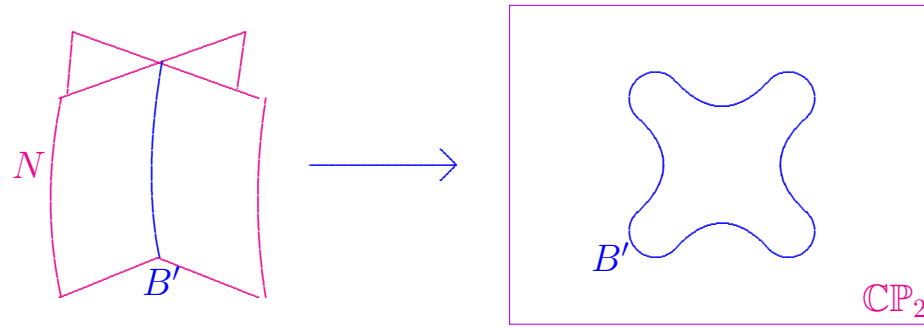
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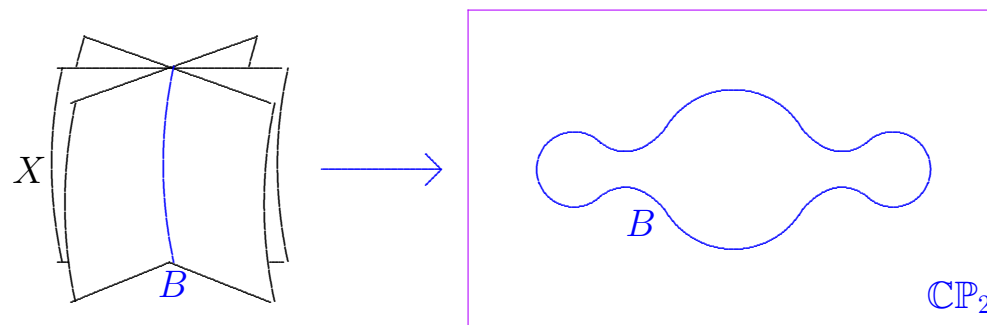
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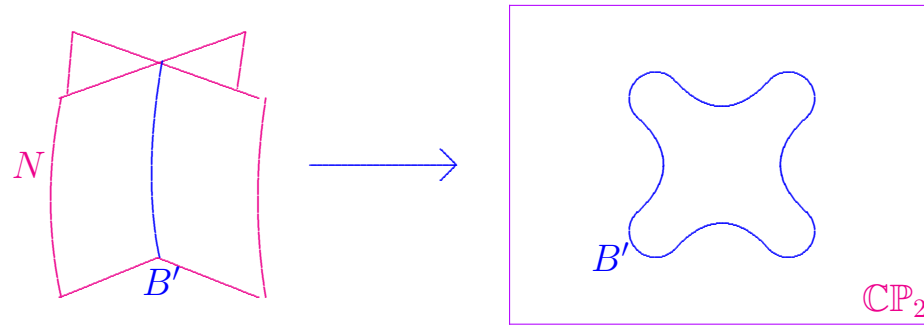
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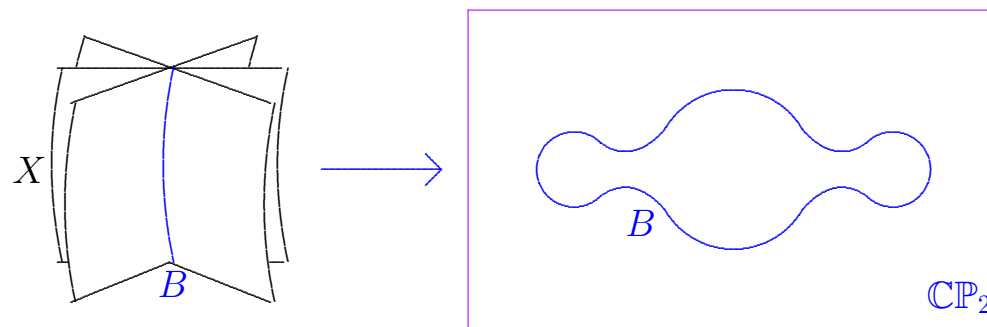
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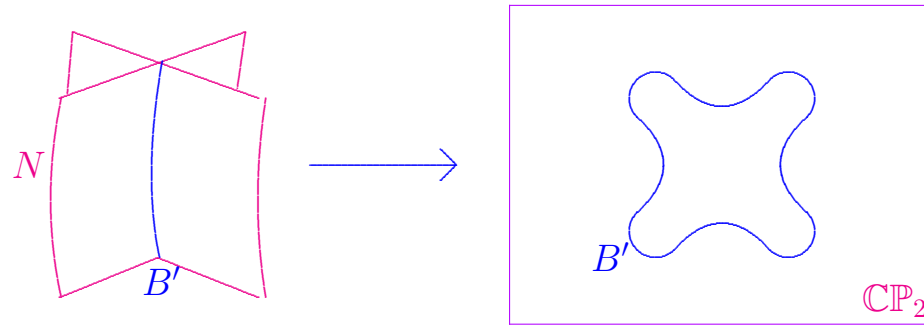


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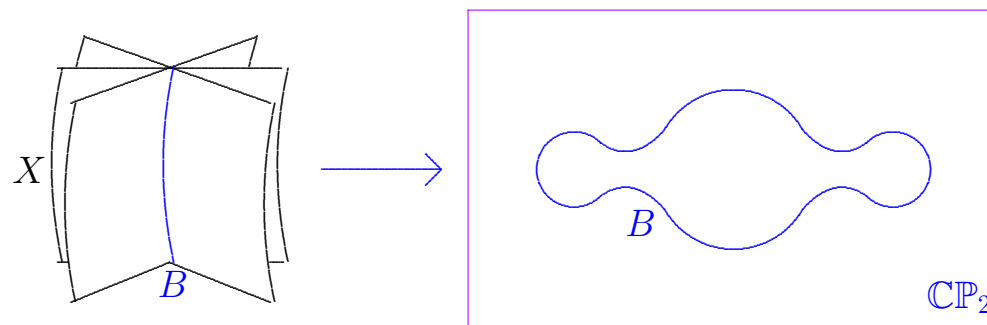


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